Receipt date: 02/06/2007

# 37 CFR 1.98(A)(1) Reference Citation

10581296 - GAU: 1654

| NEIFELD IP LAW<br>REFERENCE FORM             | NEIFELD REF:<br>UTEX0011UPCT-US | APPLICATION NO: 10/581,296 |
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| LIST OF REFERENCES CITED<br>BY THE APPLICANT | FIRST NAMED INVENTOR: Paul      |                            |
| (Rev: 5/14/2003)                             | FILING DATE: 3/26/2004          | GROUP ART UNIT:            |

LISTING OF UNITED STATES PATENTS - U series

| EXAMINER<br>INITIALS                  | REFERENCE<br>NUMBER<br>(U SERIES) | PATENT<br>NUMBER | ISSUE DATE    | NAME OF PATENTEE OR<br>APPLICANT | PAGE/LINE AND FIGURE/ELEMENT OF RELEVANT<br>MATERIAL AND/OR IDENTIFICATION OF PRIORITY<br>APPLICATION IN WHICH REFERENCE IS CITED |
|---------------------------------------|-----------------------------------|------------------|---------------|----------------------------------|---|
|                                       | U-001                             | 5,445,960        | 8/29/1995     | Masuho et al.                    |   |
|                                       | U-002                             | 5,695,927        | 12/9/1997     | Masuho et al.                    |   |
|                                       | U-003                             | 5,783,670        | 7/21/1998     | Masuho et al.                    |   |
|                                       | U-004                             | 5,807,715        | 9/15/1998     | Morrison et al.                  |   |
|                                       | U-005                             | 5,811,238        | 9/22/1998     | Stemmer et al.                   |   |
|                                       | U-006                             | 5,952,462        | 9/14/1999     | Powell et al.                    |   |
|                                       | U-007                             | 6,156,541        | 12/5/2000     | Paul et al.                      |   |
|                                       | U-008                             | 6,235,714        | 5/22/2001     | Paul et al.                      |   |
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|                                       | U-010                             | 6,407,213        | 6/18/2002     | Carter et al.                    |   |
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### LISTING OF UNITED STATES PUBLISHED APPLICATIONS - P Series

| EXAMINER<br>INITIALS | REFERENCE<br>NUMBER<br>(P SERIES) | PUBLICATION<br>NUMBER                            | PUBLICATION<br>DATE | NAME OF PATENTEE OR<br>APPLICANT | PAGE/LINE AND FIGURE/ELEMENT OF<br>RELEVANT MATERIAL AND/OR<br>IDENTIFICATION OF PRIORITY APPLICATION<br>IN WHICH REFERENCE CITED |
|----------------------|-----------------------------------|--|---------------------|----------------------------------|---|
|                      | P-001                             | US<br>2003/00782<br>03                           | 4/24/2003           | Paul et al.                      |   |
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#### LISTING OF NON PATENT LITERATURE - O Series

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| EXAMINER<br>INITIALS | REFERENCE<br>NUMBER<br>(O Series) | PUBLICATION<br>DATE | INCLUDE IN SEQUENCE: Name of first author (in CAPITAL LETTERS), Title in quotation marks, name of publication, date or publication, page numbers, publisher, city of publication, and country of publication | ENGLISH LANGUAGE TRANSLATION<br>ATTACHED? (YES OR NO) AND/OR OR<br>IDENTIFICATION OF PRIORITY APPLICATION<br>IN WHICH REFERENCE IS CITED |
|----------------------|-----------------------------------|---------------------|--|--|
|                      | O-001                             |                     | International Search report,<br>PCT/US04/09399, mailed June 1, 2005.   |  |
|                      | O-002                             |                     | Written Opinion of the International Searching Authority, PCT/US04/09399, mailed June 1, 2005.   |  |
|                      | O-003                             |                     | International Search report,<br>PCT/US04/09662, mailed June 28,<br>2006.   |  |
|                      | O-004                             |                     | Written Opinion of the International<br>Searching Authority PCT/US04/09662,<br>mailed June 28, 2006.   |  |
|                      | O-005                             | 3/28/2003           | Paul et al., "Specific HIV gp 120-<br>cleaving antibodies induced by<br>covalently reactive analog of gp 120. J.<br>Biol. Chem. 30 May 2003, Vol. 278,<br>No. 22, pages 20429-20435.                         |  |
|                      | O-006                             |                     | Nishiyama et al., "Antibodies to the Superantigenic Site of HIV-1 gp 120: Hydrolytic and Binding Aactivities of the Light Chain Subunit" submitted with application US 60/857,764, filed November 9, 2006.   |  |
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|                      | O-009                             |                     | Planque et al., "Ontogeny of Proteolytic<br>Immunity: IgM Serine Proteases"<br>submitted with application US<br>60/534,689, filed January 8, 2004.   |  |
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